

# LECTURE 13

## MERGESORT

MCS 275 Spring 2023

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# LECTURE 13: MERGESORT

Reminders and announcements:

- Project 2 posted; due 6pm central Fri Feb 24.
- Project 1 grading underway.
- Homework 5 due tomorrow (notebook).

# PROJECT 2

Demo and discussion to supplement the [project description](#).

# PLAN

- Discuss the theory of
  - Divide and conquer
  - Sorting
  - Mergesort
- Implement mergesort

# DIVIDE AND CONQUER

A strategy that often involves recursion.

- **Split** a problem into parts.
- **Solve** for each part.
- **Merge** the partial solutions into a solution of the original problem.

Not always possible or a good idea. It only works if merging partial solutions is easier than solving the entire problem.

# COMPARISON SORT

Suppose you have a list of objects that can be compared with  $==$ ,  $>$ ,  $<$ .

You'd like to reorder them in increasing order.

This problem is called **comparison sort**. There are many solutions.

# MERGESORT

A divide-and-conquer solution to comparison sort.

It is a fast solution, often used in practice.

Key: It is pretty easy to take two sorted lists and merge them into a single sorted list.

So, let's divide our list into halves, sort each one (recursively), then merge them.

Now we'll formalize this.

## Algorithm `mergesort`:

**Input:** list  $\mathbb{L}$  whose elements support comparison.

**Goal:** return a list that contains the items from  $\mathbb{L}$  but in sorted order.

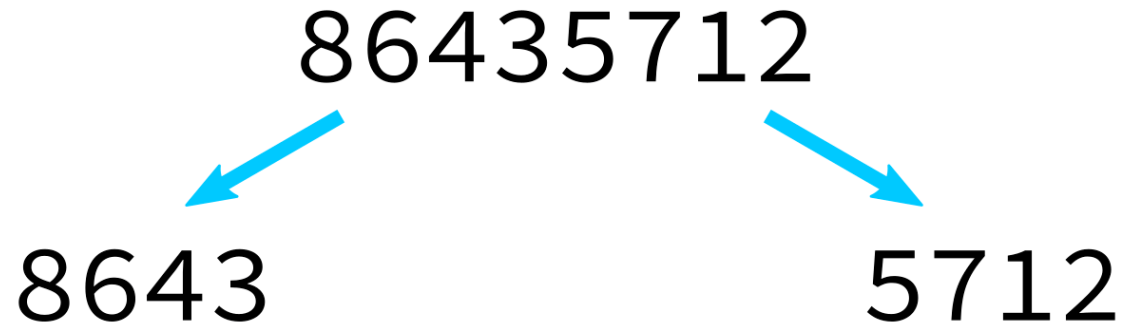
1. If  $\mathbb{L}$  has 0 or 1 elements, return  $\mathbb{L}$
2. Otherwise, divide  $\mathbb{L}$  into roughly equal pieces  $\mathbb{L}_0$  and  $\mathbb{L}_1$ .
3. Recursively call `mergesort` on  $\mathbb{L}_0$  and  $\mathbb{L}_1$ .
4. Use `merge` to merge these sorted lists and return the result.



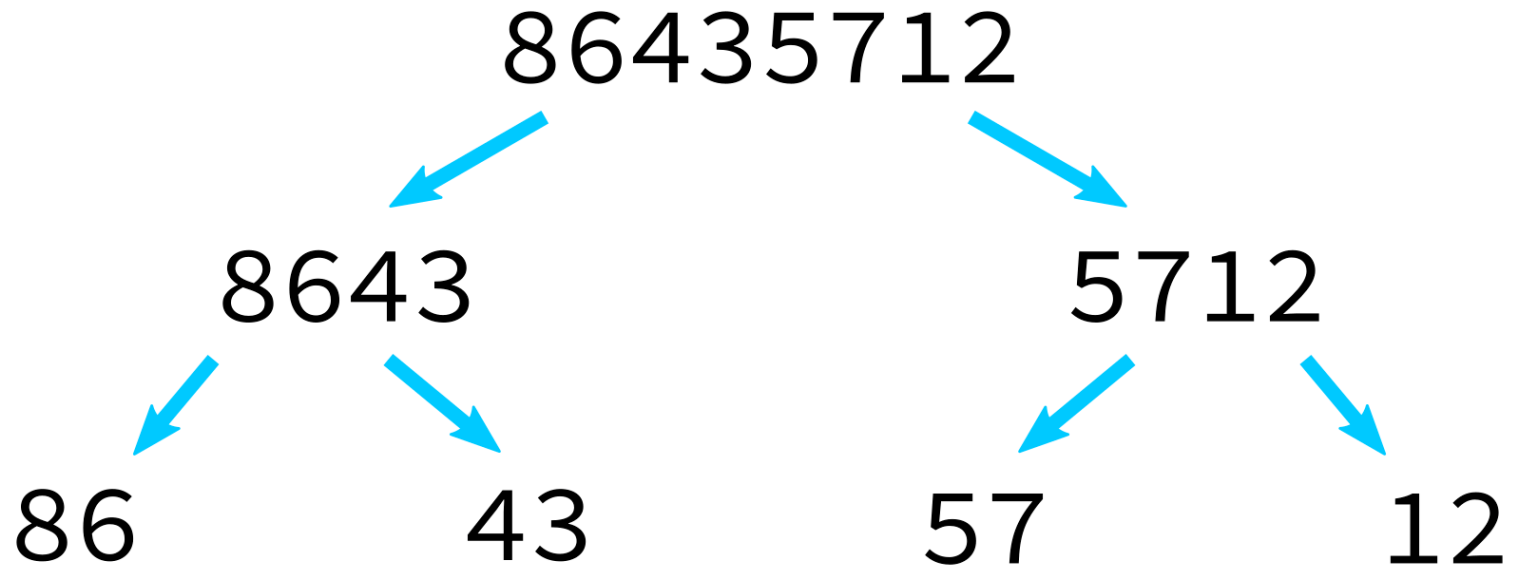
# MERGESORT EXAMPLE

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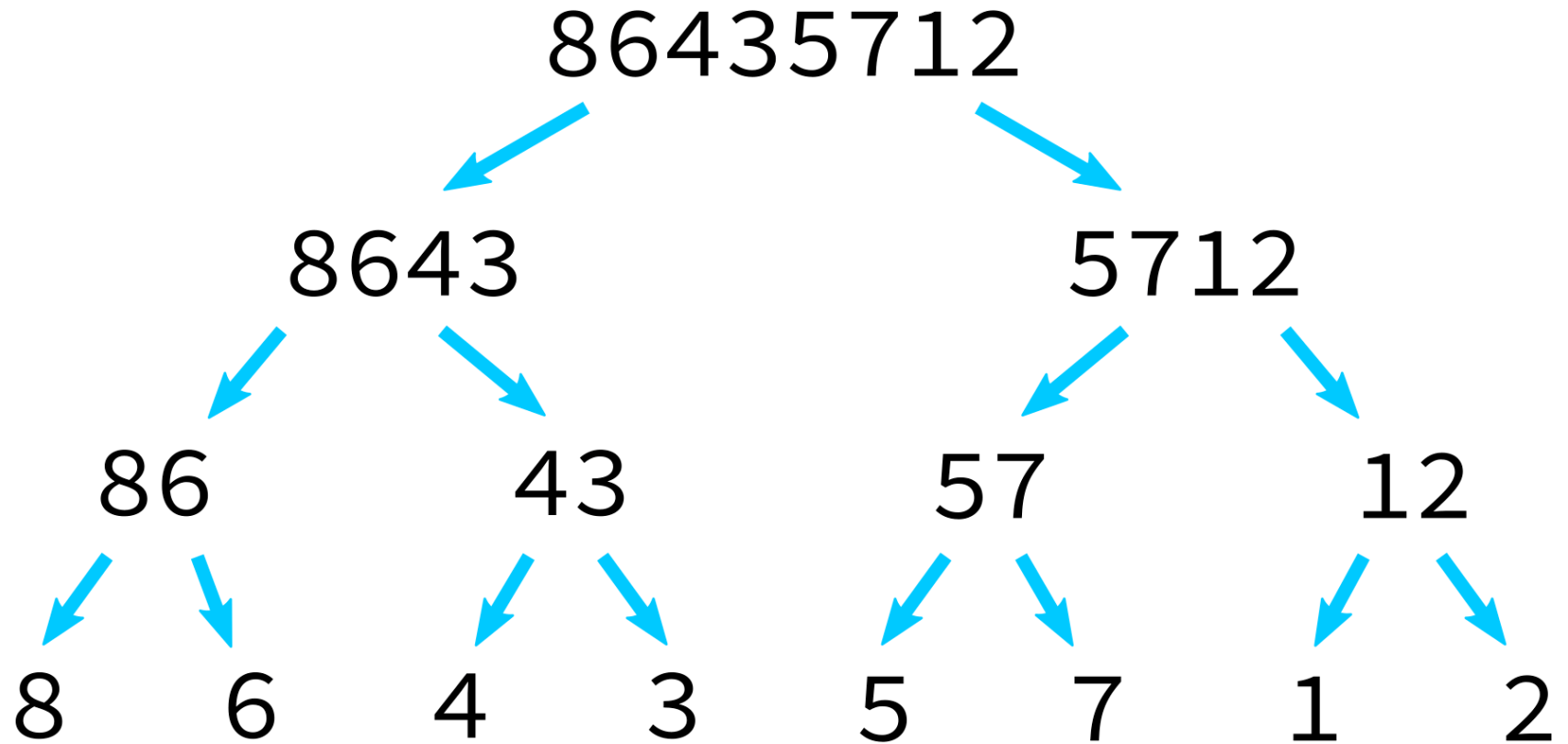
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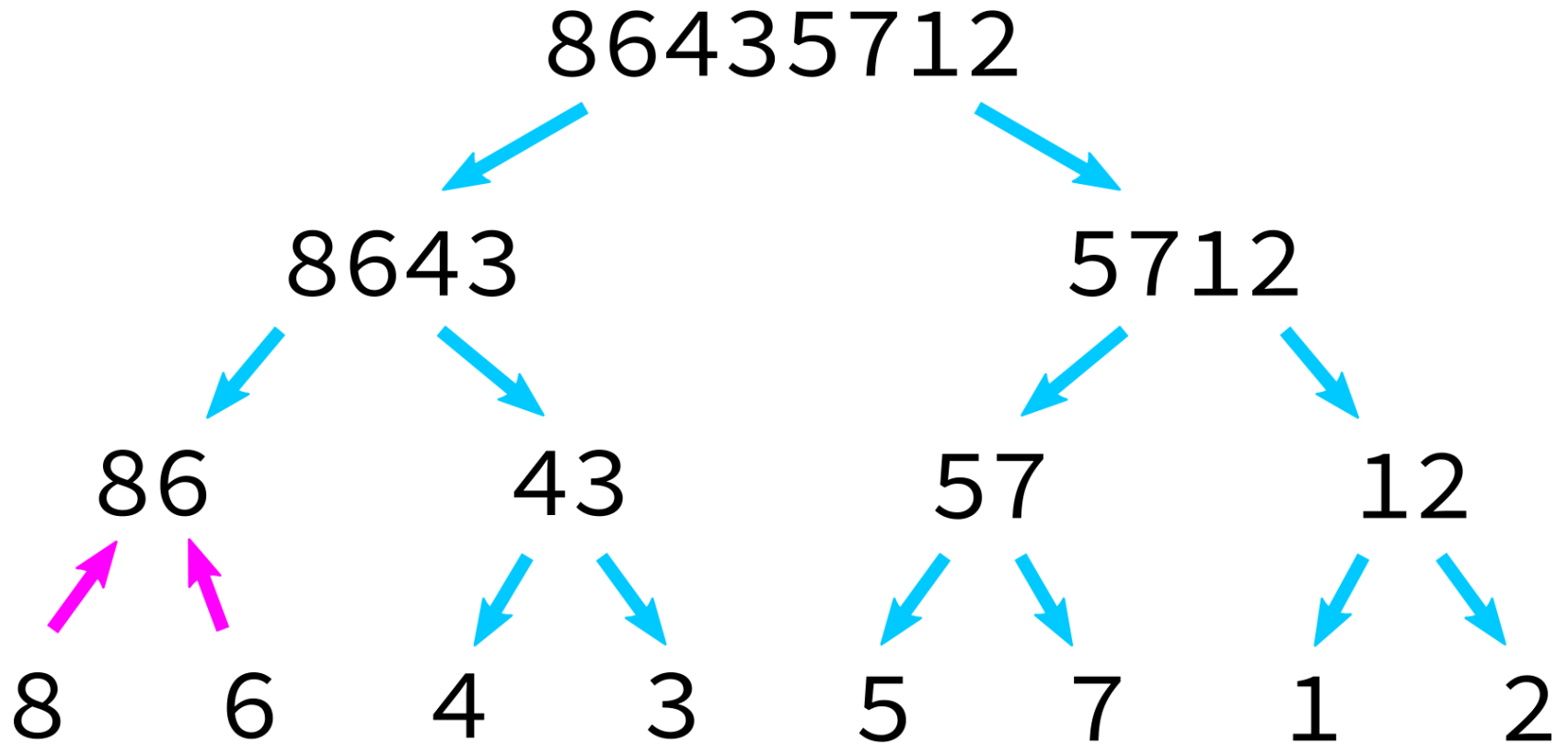
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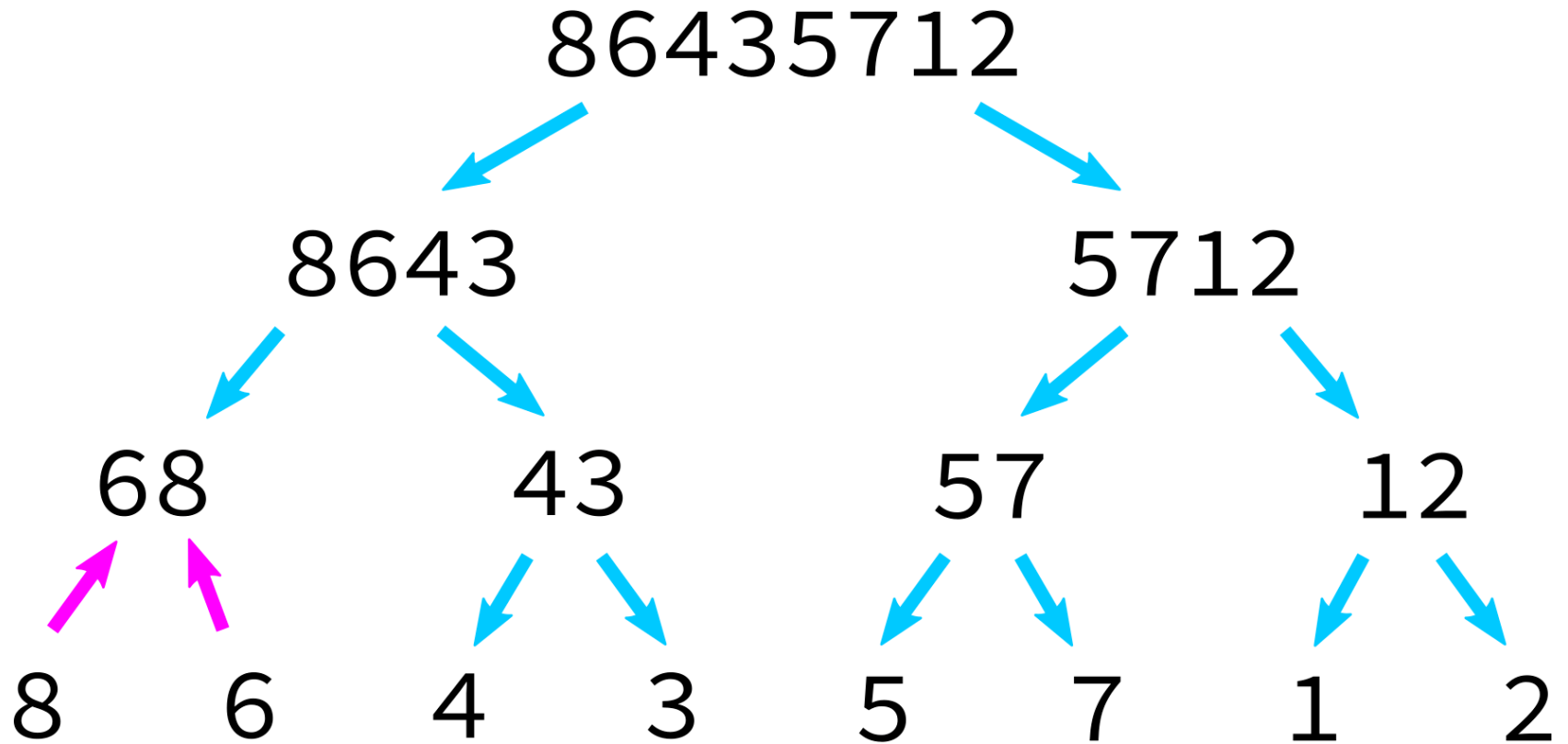
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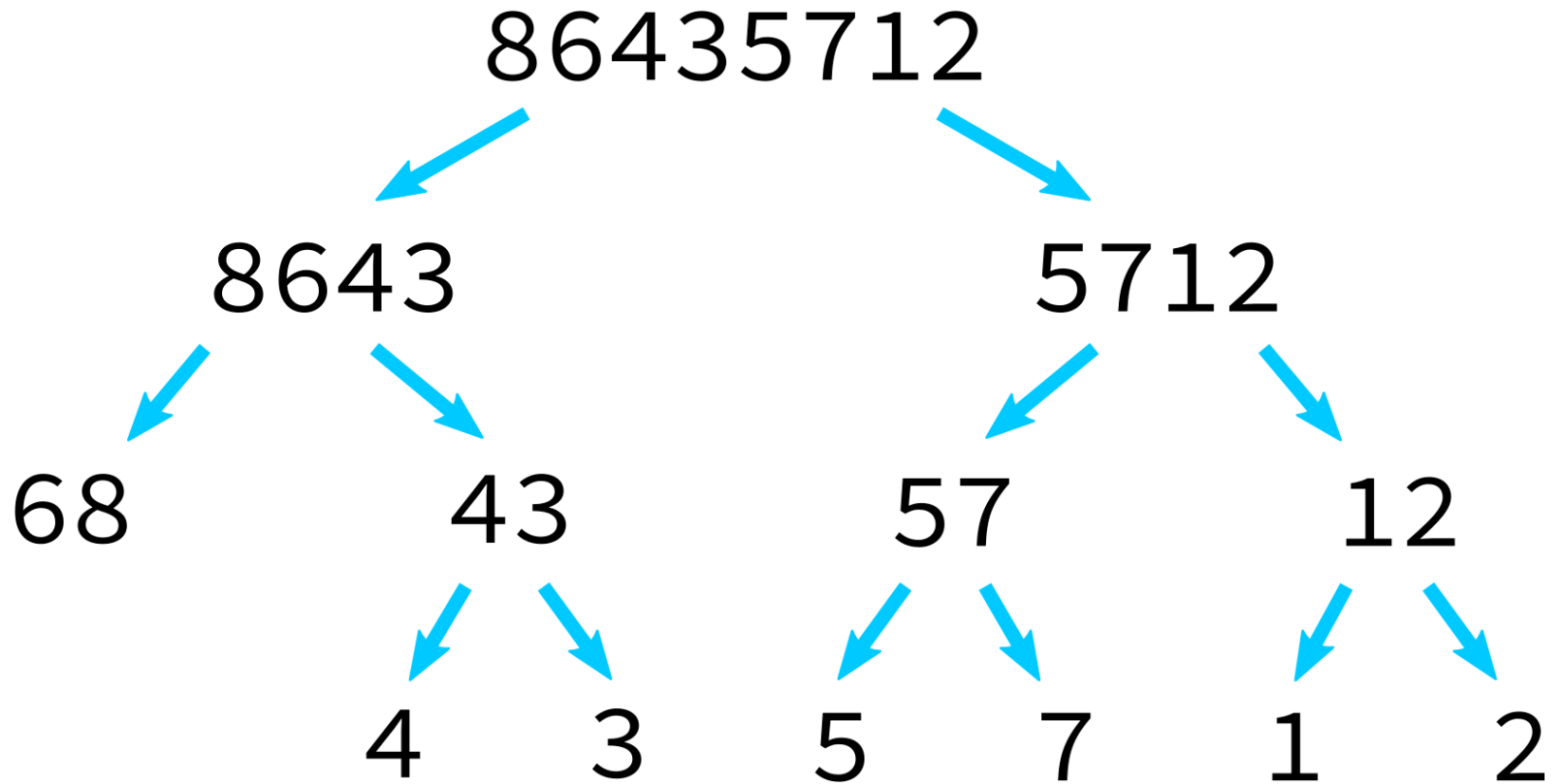
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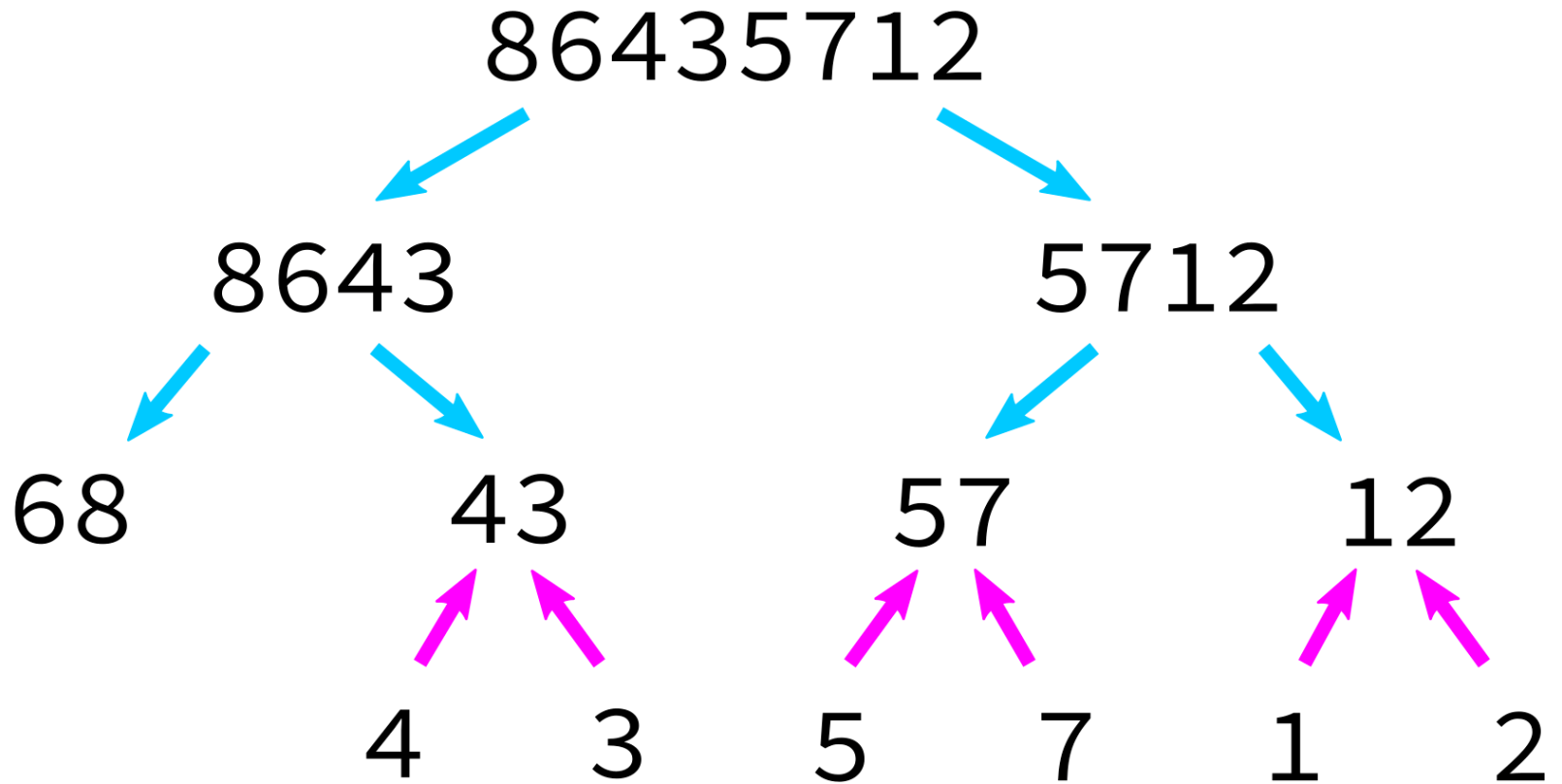
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# MERGESORT EXAMPLE

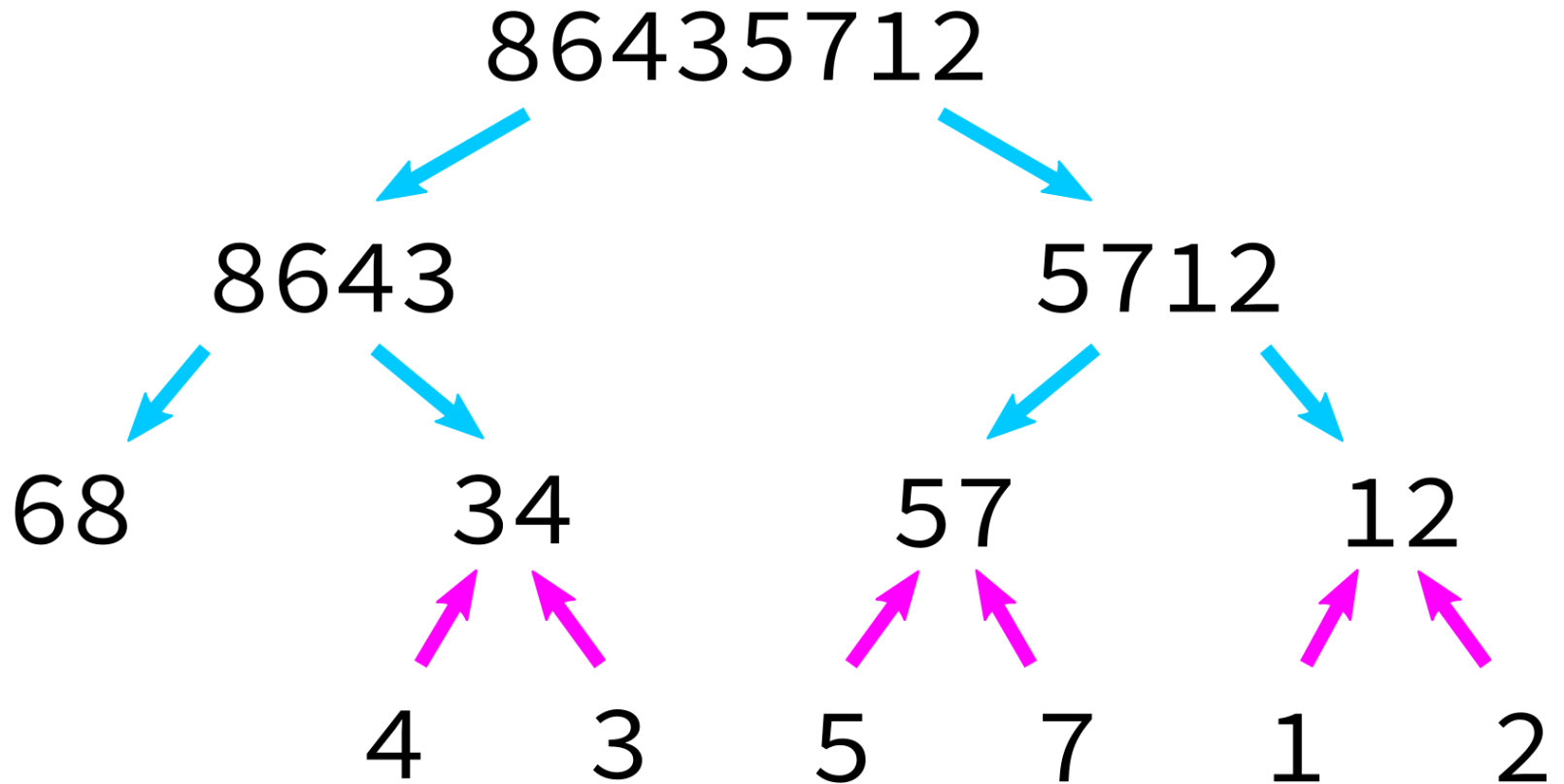


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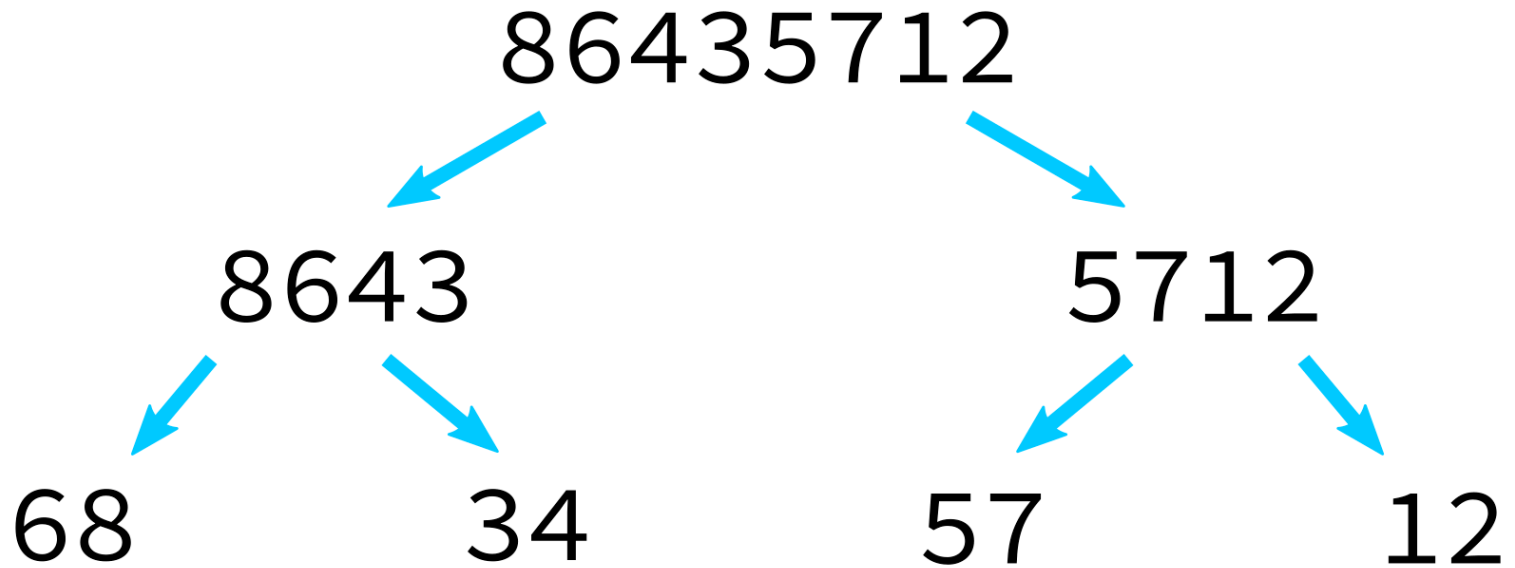




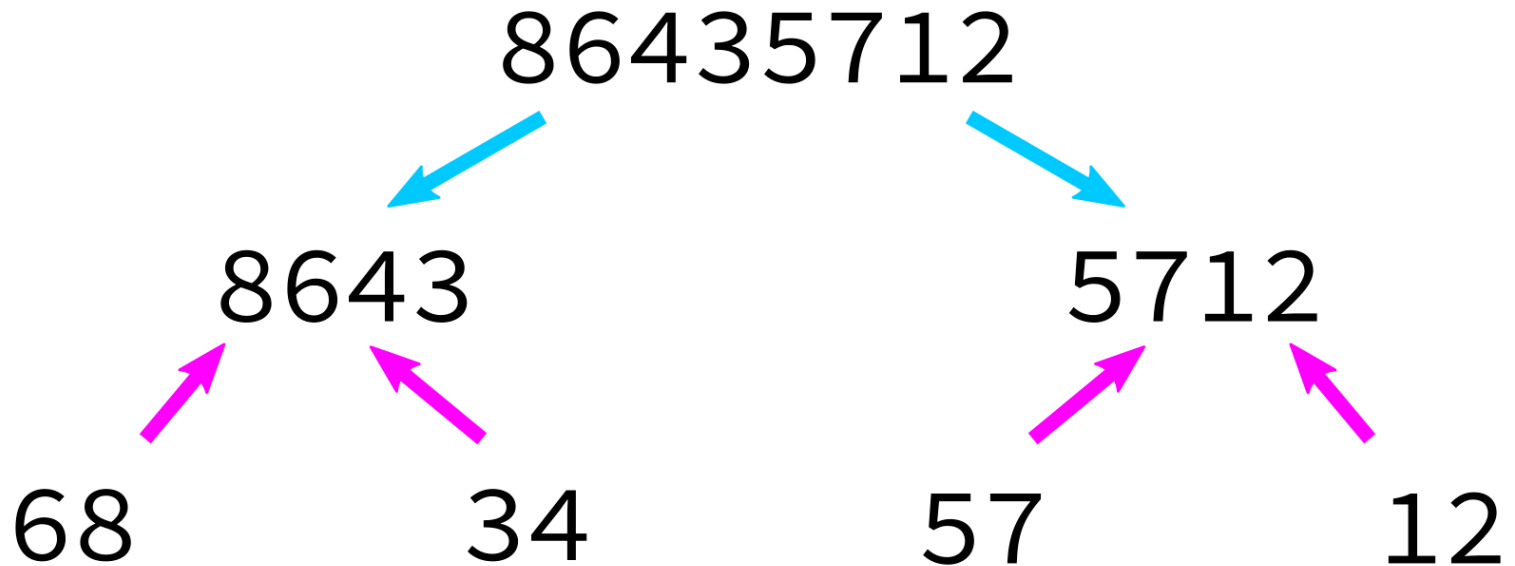
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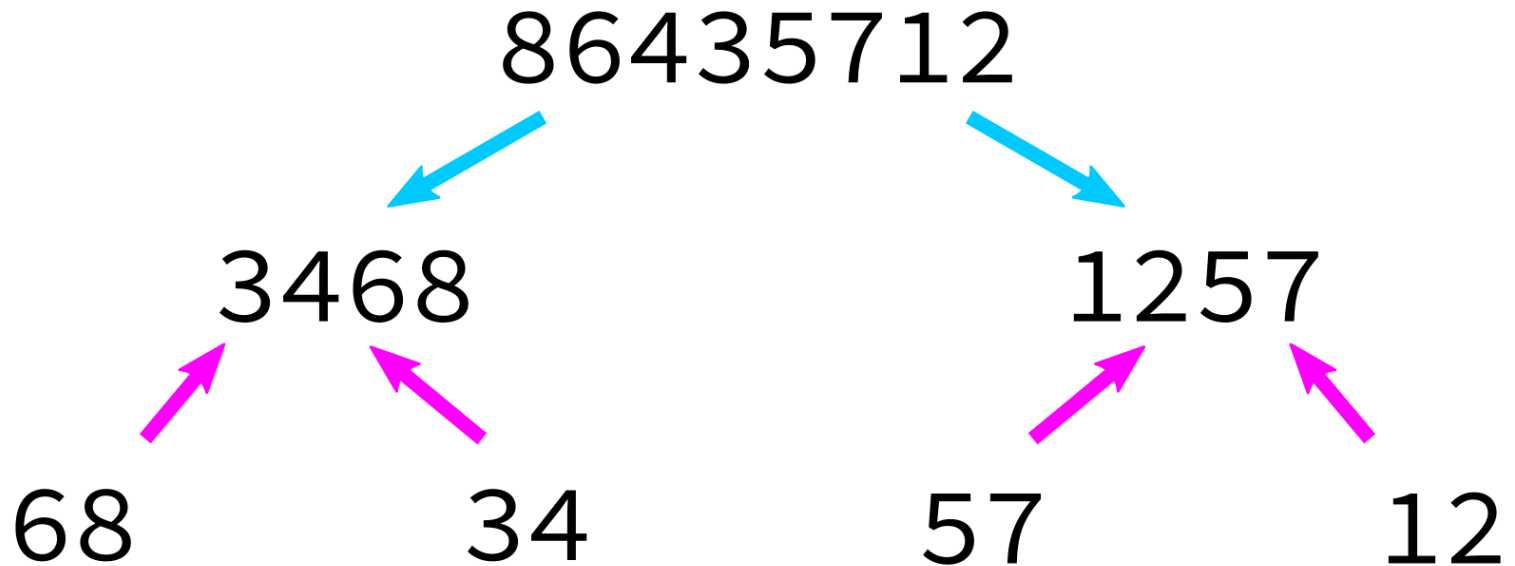
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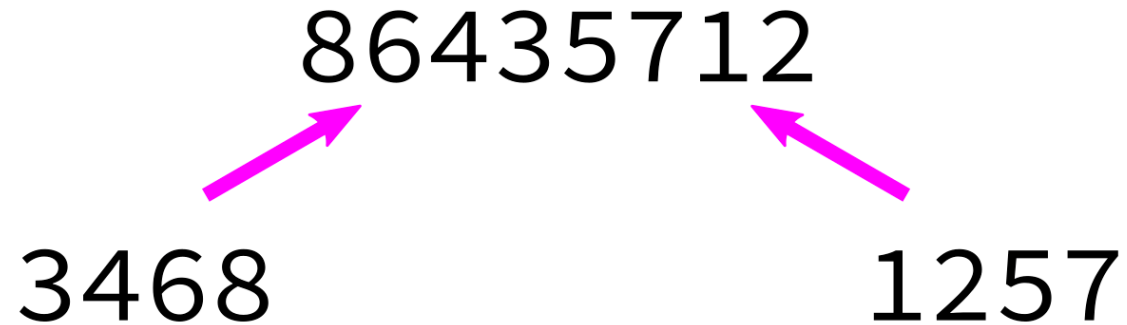
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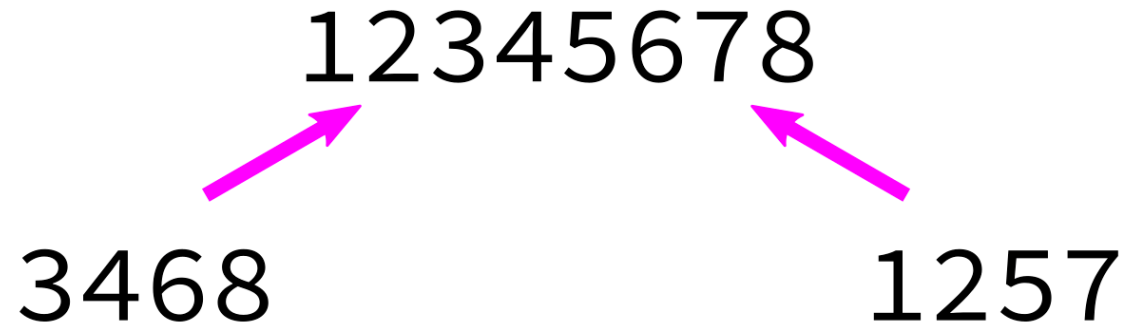
# MERGESORT EXAMPLE



# MERGESORT EXAMPLE



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# MERGESORT EXAMPLE

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# BUT HOW TO MERGE?

This algorithm depends on having a function `merge` that can merge two sorted lists into a single sorted list.



# Algorithm merge:

**Input:** sorted lists  $L_0$  and  $L_1$ .

**Goal:** return a sorted list with same items as  $L_0 + L_1$

1. Make a new empty list  $L$
2. Make integer variables  $i_0, i_1$  to keep track of current position in  $L_0, L_1$  respectively. Set to zero.
3. While  $i_0 < \text{len}(L_0)$  and  $i_1 < \text{len}(L_1)$ , do the following:
  - Check which of  $L_0[i_0]$  and  $L_1[i_1]$  is smaller.
  - Append the smaller one to  $L$ .
  - Increment whichever one of  $i_0, i_1$  was used.
4. Append any remaining portion of  $L_0$  to  $L$ .
5. Append any remaining portion of  $L_1$  to  $L$ .

# MERGING SORTED LISTS

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# MERGING SORTED LISTS

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# MERGING SORTED LISTS

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# MERGING SORTED LISTS

  
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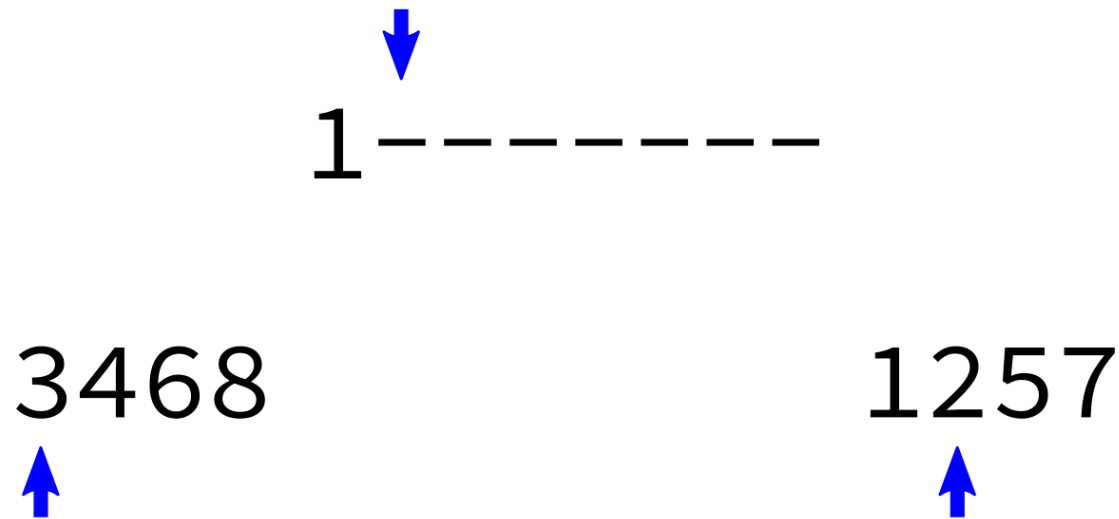
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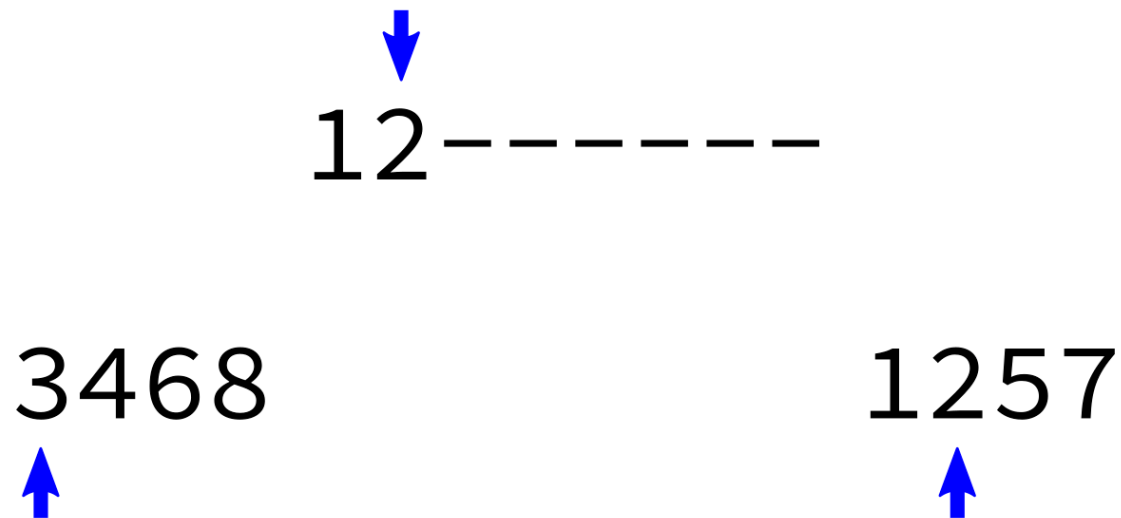


# MERGING SORTED LISTS

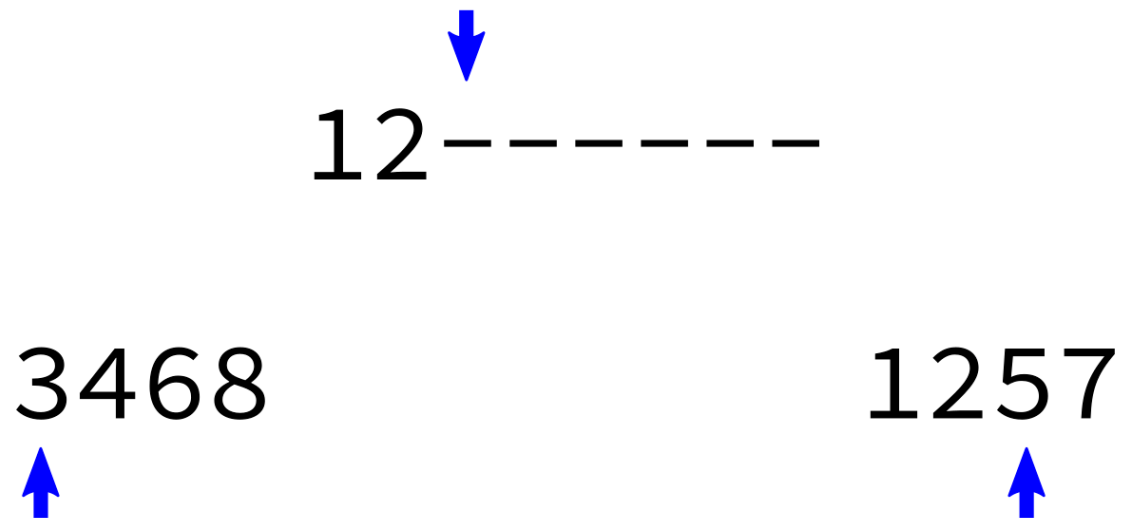




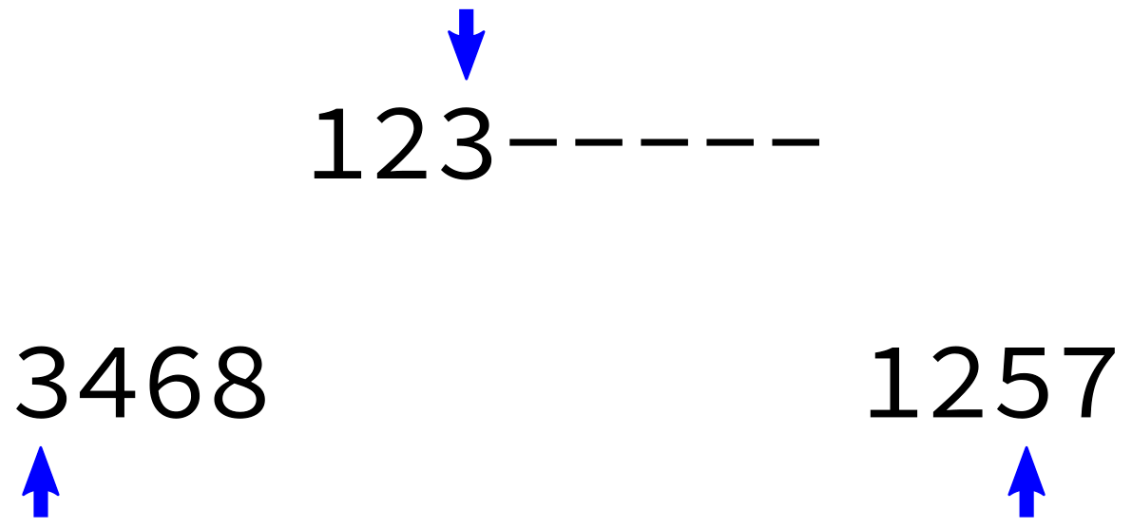
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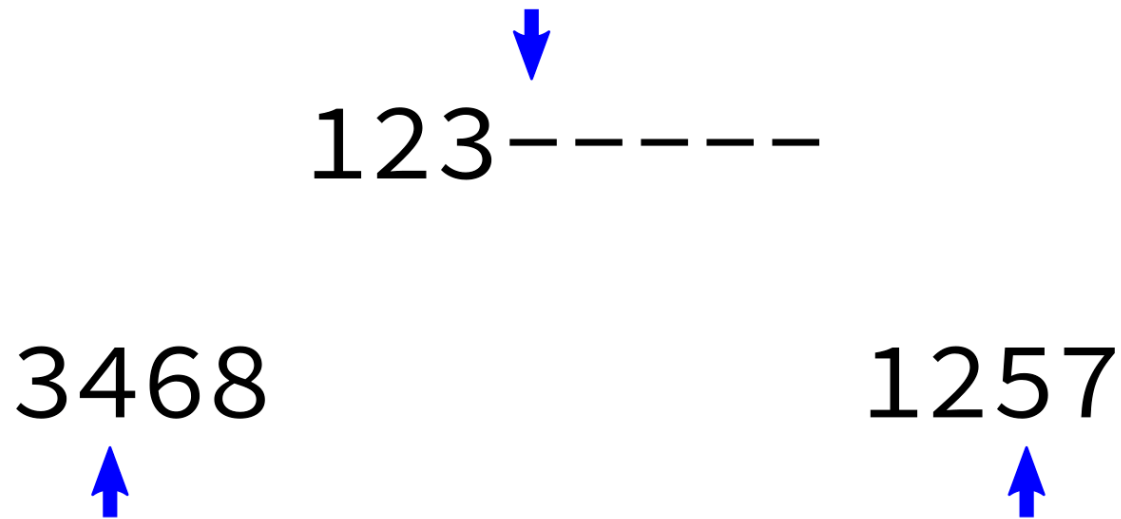
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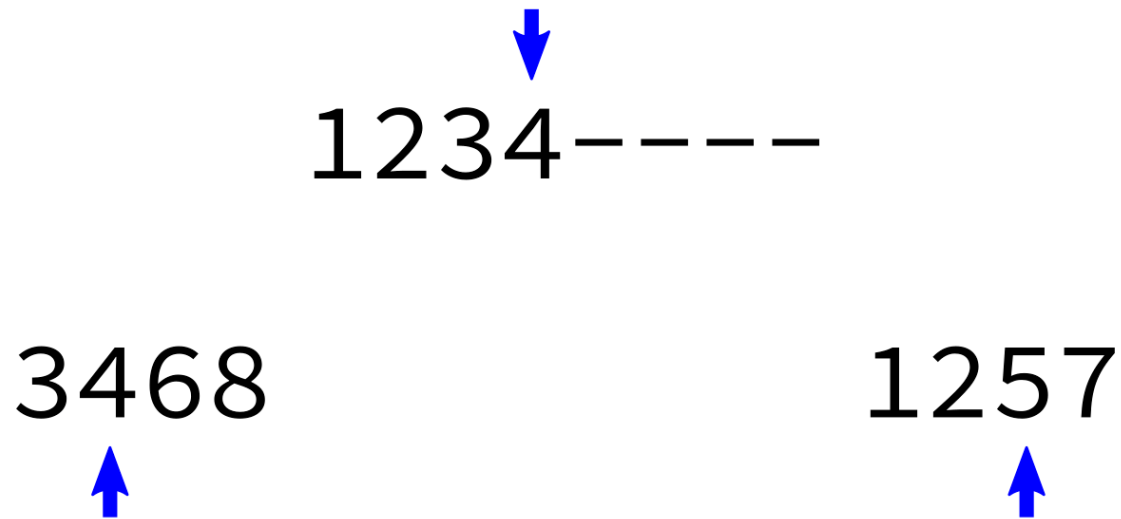
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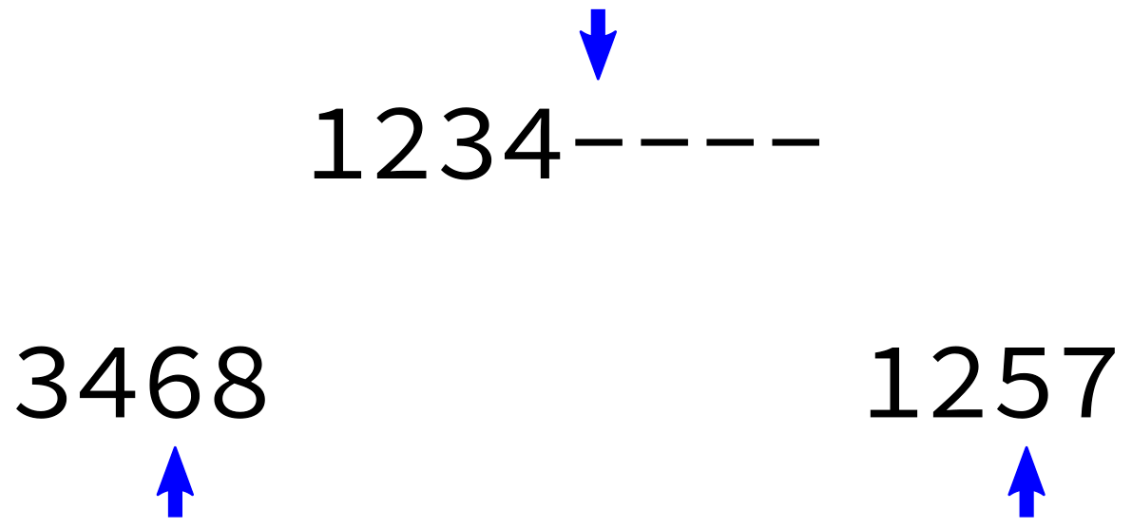
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# MERGING SORTED LISTS

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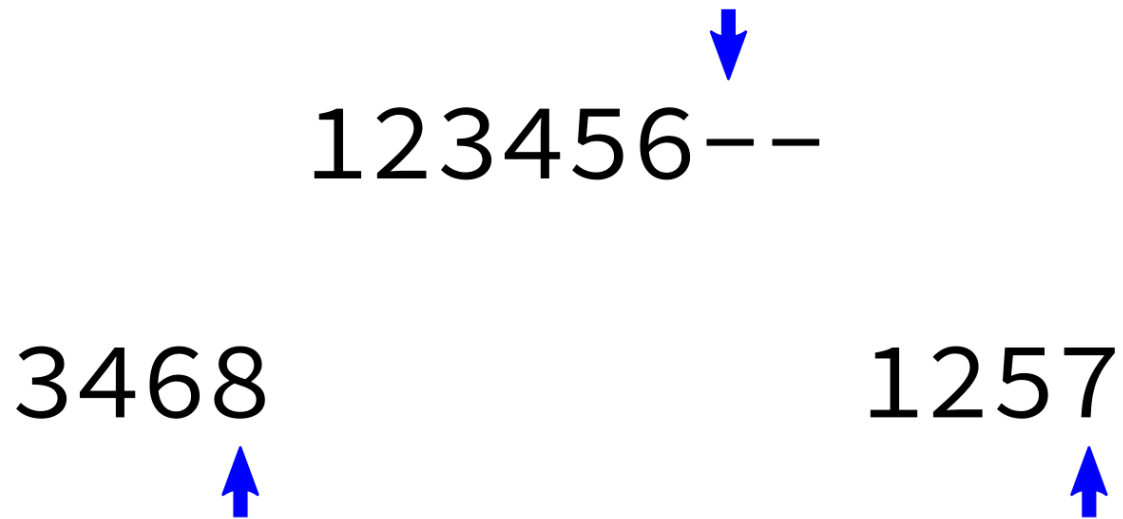
# MERGING SORTED LISTS

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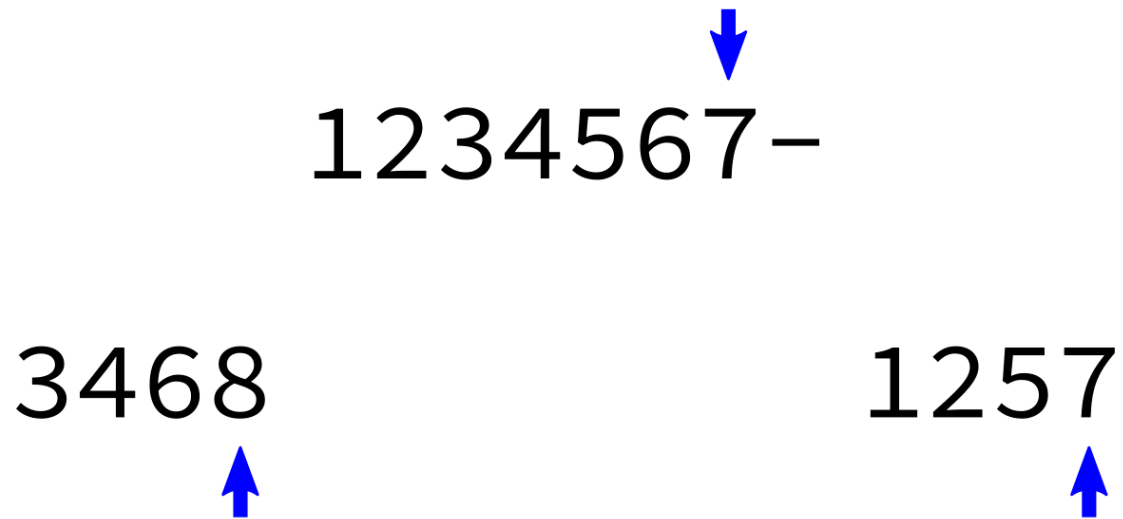
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# MERGING SORTED LISTS



# MERGING SORTED LISTS



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# MERGING SORTED LISTS

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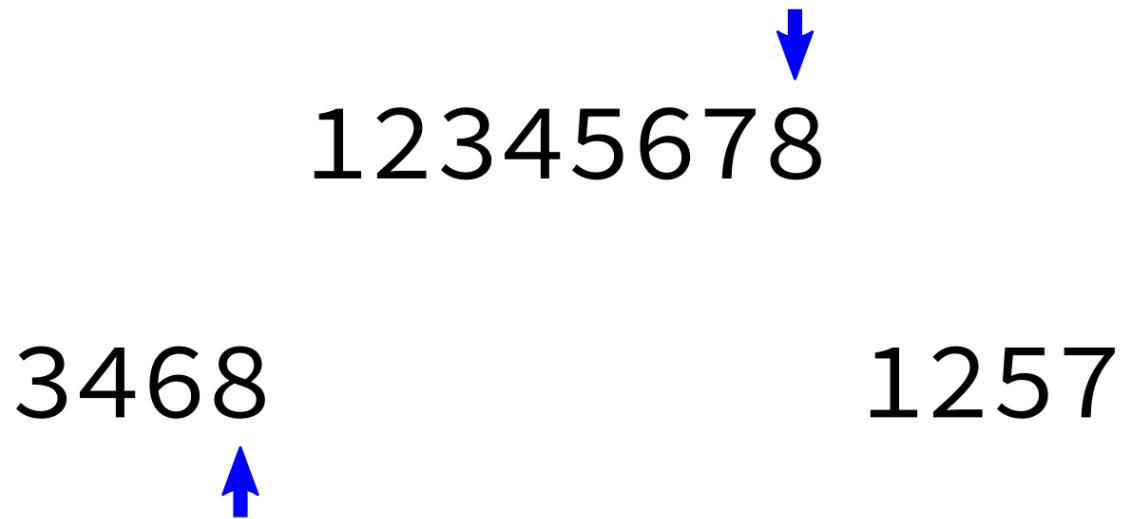


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# MERGING SORTED LISTS



# MERGING SORTED LISTS

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# MERGING SORTED LISTS

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# CODING TIME

Let's implement `mergesort` in Python.

# REFERENCES

- Recursion references from [Lecture 10](#).
- Making nice visualizations of sorting algorithms is a cottage industry in CS education.

Some you might like to check out:

- [2D visualization through color sorting](#) by Linus Lee
- [Animated bar graph visualization of many sorting algorithms](#) by Alex Macy
- Slanted line animated visualizations of [mergesort](#) and [quicksort](#) by Mike Bostock

## REVISION HISTORY

- 2022-02-16 Last year's version of this lecture finalized
- 2023-02-13 Updated for 2023

