

(P1) Consider the vector field  $V = x \frac{\partial}{\partial x} + y \frac{\partial}{\partial y} - 2z \frac{\partial}{\partial z}$  on  $\mathbb{R}^3$ .

(a) Compute  $Vf$  where  $f(x, y, z) = x + y^2$

(b) Compute the Lie bracket  $[V, \frac{\partial}{\partial z}]$ .

(c) Compute the flow of  $V$ .

(a)  $Vf = \left( x \frac{\partial}{\partial x} + y \frac{\partial}{\partial y} - 2z \frac{\partial}{\partial z} \right) \overbrace{(x+y^2)}^{\text{independent of } z}$

$$= x \frac{\partial}{\partial x}(x+y^2) + y \frac{\partial}{\partial y}(x+y^2) = x(1) + y(2y)$$

$$= x + 2y^2$$

(b) We could use the general formula, but applying the definition directly is probably just as efficient.

If  $[V, \frac{\partial}{\partial z}] = a \frac{\partial}{\partial x} + b \frac{\partial}{\partial y} + c \frac{\partial}{\partial z}$

then  $a = [V, \frac{\partial}{\partial z}]x$ ,  $b = [V, \frac{\partial}{\partial z}]y$ ,  $c = [V, \frac{\partial}{\partial z}]z$ .

So

$$a = V\left(\frac{\partial}{\partial z}x\right) - \frac{\partial}{\partial z}(Vx) = 0 - \frac{\partial}{\partial z}(x) = 0$$

$$b = V\left(\frac{\partial}{\partial z}y\right) - \frac{\partial}{\partial z}(Vy) = 0 - \frac{\partial}{\partial z}(y) = 0$$

$$c = V\left(\frac{\partial}{\partial z}z\right) - \frac{\partial}{\partial z}(Vz) = \underbrace{V(1)}_{\text{const } 0} - \frac{\partial}{\partial z}(-2z) = 2$$

So  $[V, \frac{\partial}{\partial z}] = 2 \frac{\partial}{\partial z}$

P1 continued

(c) Claim: The flow is given by  $\Phi_t(x, y, z) = (e^t x, e^t y, e^{-2t} z)$ .

Proof. Since  $\Phi_0(x, y, z) = (x, y, z)$  we need only show that for any  $(x_0, y_0, z_0)$ , the curve

$$\gamma(t) = \Phi_t(x_0, y_0, z_0) = (e^t x_0, e^t y_0, e^{-2t} z_0)$$

is an integral curve of  $V$ . This follows from the equality of

$$\gamma'(t) = e^t x_0 \frac{\partial}{\partial x} + e^t y_0 \frac{\partial}{\partial y} - 2e^{-2t} z_0 \frac{\partial}{\partial z}$$

and

$$V_{\gamma(t)} = V_{(e^t x_0, e^t y_0, e^{-2t} z_0)} = e^t x_0 \frac{\partial}{\partial x} + e^t y_0 \frac{\partial}{\partial y} - 2e^{-2t} z_0 \frac{\partial}{\partial z}$$

(P2) Consider these differential forms on  $\mathbb{R}^3$ :

$$\alpha = dy \wedge dz + z dx \wedge dz$$

$$\beta = x dy - y dx$$

Also, let  $\gamma: \mathbb{R} \rightarrow \mathbb{R}^3$  be the map  $\gamma(t) = (t, t^2, 0)$ .

(a) Compute  $\alpha \wedge \beta$ .

(b) Compute  $d\alpha$ .

(c) Compute  $d\beta$ .

(d) Compute  $\gamma^*(\alpha)$ .

(e) Compute  $\gamma^*(\beta)$ .

$$\begin{aligned}
 (a) \quad \alpha \wedge \beta &= (dy \wedge dz + z dx \wedge dz) \wedge (x dy - y dx) \\
 &= \underbrace{x dy \wedge dz \wedge dy}_{\text{vanishes}} - \underbrace{y dy \wedge dz \wedge dx}_{\text{vanishes}} \\
 &\quad \underbrace{xz dx \wedge dz \wedge dy}_{\text{vanishes}} - \underbrace{yz dx \wedge dz \wedge dx}_{\text{vanishes}} \quad \left. \vphantom{\alpha \wedge \beta} \right\} C^\infty(M)\text{-bilinearity of } \wedge \\
 &= -y dy \wedge dz \wedge dx + xz dx \wedge dz \wedge dy \quad \left. \vphantom{\alpha \wedge \beta} \right\} \text{Remove terms with repeated } dx_i \text{ (vanish by anti-symmetry)} \\
 &= +y dy \wedge dx \wedge dz - xz dx \wedge dy \wedge dz \quad \text{Swap } dx_i \\
 &= (-y - xz) dx \wedge dy \wedge dz \quad \text{Swap and group.}
 \end{aligned}$$

$$(b) \quad d\alpha = d(dy \wedge dz + z dx \wedge dz) = 0 + 1 \cdot \cancel{dz \wedge dx \wedge dz} = 0$$

$$(c) \quad d\beta = d(x dy - y dx) = dx \wedge dy - dy \wedge dx = 2 dx \wedge dy$$

(d)  $\alpha$  is a 2-form and  $\mathbb{R}$  is a 1-manifold, so  $\gamma^*\alpha = 0$ .

p2 continued

$$(c) \gamma(t) = (t, t^2, 0).$$

$$\gamma'(t) = d\gamma\left(\frac{\partial}{\partial t}\right) = \frac{\partial}{\partial x} + 2t \frac{\partial}{\partial y}.$$

$$\text{So } \gamma^* \beta = \int dt \quad \text{where } f(t) = \beta_{(t, t^2, 0)} \left( \frac{\partial}{\partial x} + 2t \frac{\partial}{\partial y} \right)$$

$$= (t dy - t^2 dx) \left( \frac{\partial}{\partial x} + 2t \frac{\partial}{\partial y} \right)$$

$$= 2t^2 - t^2 = t^2.$$

That is,  $\gamma^* \beta = t^2 dt$

(P3) Suppose  $M$  and  $X$  are smooth manifolds and that there are three smooth maps

$$A : M \rightarrow X,$$

$$B : X \rightarrow X,$$

$$C : X \rightarrow M$$

such that  $F = C \circ B \circ A : M \rightarrow M$  is a diffeomorphism. Does this imply that any of the maps  $A, B, C$  is an immersion? And does it imply that any of  $A, B, C$  is a submersion? Justify your answers.

In addition to any arguments, examples, or justifications you write, please include a table like this one that summarizes your answer

	A	B	C
Must be an immersion?			
Must be a submersion?			

writing "yes" or "no" in each box as appropriate.

## Summary

	A	B	C
Must be immersion?	<b>YES</b>	<b>NO</b>	<b>NO</b>
Must be submersion?	<b>NO</b>	<b>NO</b>	<b>NO</b>

$F = C \circ B \circ A$  is both an immersion and a submersion (as it is a diffeo)

Prop. A must be an immersion.

Proof. Suppose not. Then  $dA(v) = 0$  for some nonzero vector  $v \in T_p M$ . But then  $dF(v) = dC(dB(dA(v))) = 0$ , and  $F$  is not an immersion. Contradiction.  $\square$

An example where  $B, C$  not immersions and  $A, B, C$  not submersions:

$$M = \mathbb{R} \quad X = \mathbb{R}^3 \quad A(t) = (t, 1, 0) \quad B(x, y, z) = (x, y, 0)$$

$$C(x, y, z) = xy.$$

$$\text{Here, } C \circ B \circ A = C(B(t, 1, 0)) = C(t, 1, 0) = t \cdot 1 = t$$

$$\text{So } F = \text{Id}_{\mathbb{R}}.$$

$$B \text{ not immersion: } dB\left(\frac{\partial}{\partial z}\right) = 0$$

C not immersion: follows for dimension reasons

A not submersion: follows for dimension reasons

$$B \text{ not submersion: } dB(T_p \mathbb{R}^3) \text{ does not contain } \frac{\partial}{\partial z} \Big|_{B(p)}$$
$$(\text{rank } dB_p = 2 < \dim T_p \mathbb{R}^3)$$

$$C \text{ not submersion: } C(0, 0, 0) = 0$$

$$\text{but } dC_{(0,0,0)} : T_{(0,0,0)} \mathbb{R}^3 \rightarrow T_0 \mathbb{R}$$

$$\text{is the } \underline{\text{zero map}} \text{ because } \left( \frac{\partial C}{\partial x}, \frac{\partial C}{\partial y}, \frac{\partial C}{\partial z} \right)$$

vanish at  $x=y=z=0$ .

in particular not surjective.  $\square$

Discussion. The reason A is an immersion is that if

$dA$  sends a vector to zero, then there's no "recovering" from

that.  $dF = dC \circ dB \circ dA$  will also do so.

P3 Continued.

But for the maps  $B$  and  $C$ , knowing things about  $C \circ B \circ A$  will only tell us about their behavior at points in the **image** of  $A$  or  $B \circ A$ , respectively. There's nothing in the problem suggesting  $A$  and  $B \circ A$  are surjective, so we have no control over  $B$  and  $C$  at certain points.

To wit: " $B$  is an immersion" means  $\forall q \in X, \dots$   
and the best we could hope for in the situation of this problem would be a statement like  $\forall q \in A(M) \subset X, \dots$

I chose an example above where  $M$  and  $X$  have different dimensions, but the conclusion would be almost the same if the problem added the hypothesis that  $\dim M = \dim X$ . You could make sure  $A$  is not surjective,  $B$  misbehaves (e.g. has  $dB$  vanish at a point) somewhere in  $X \setminus A(M)$  and is not surjective, and that  $C$  misbehaves somewhere in  $X \setminus B(X)$ .

The only thing that would change if  $\dim M = \dim X$  was added is that  $A$  would be a submersion.

This problem tried to highlight the **domain-centric** character of the notions of immersion and submersion.