# Math 442 - Differential Geometry of Curves and Surfaces Final Exam Topic Outline

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David Dumas

Note: There is no guarantee that this outline is exhaustive, though I have tried to include all of the topics we discussed. In preparing for the final exam, you should also study your notes and the assigned reading.

## (1) Curves (local theory)

- (a) A parameterized curve  $\alpha : I \to \mathbb{R}^n$  is regular if  $|\alpha'(t)| \neq 0$  for all  $t \in I$ . (We mostly consider n=2,3.)
- (b) Review of basic notions from multivariable calculus:
  - (i) Differentiability for vector-valued functions
  - (ii) Arc length of a parameterized curve
  - (iii) Existence of parameterization by arc length
- (c) The vector product of u and v is the vector  $u \wedge v$  such that  $\langle u \wedge v, w \rangle = det(u v w)$ .
- (d) The *Frenet frame* of a curve  $\alpha(s)$  parameterized by arc length is the triple (t, n, b) where
  - (i) The (unit) tangent vector is  $t(s) = \alpha'(s)/|\alpha'(s)|$
  - (ii) The (unit) normal vector is n(s) = t'(s)/|t'(s)|
  - (iii) The (unit) binormal vector is  $b(s) = t(s) \wedge n(s)$
- (e) This frame obeys the *Frenet equations*

$$\frac{d}{dt} \begin{pmatrix} t \\ n \\ b \end{pmatrix} = \begin{pmatrix} 0 & \kappa & 0 \\ -\kappa & 0 & -\tau \\ 0 & \tau & 0 \end{pmatrix} \begin{pmatrix} t \\ n \\ b \end{pmatrix}$$

where  $\kappa(s) = |\alpha'(s)|$  is the curvature and  $\tau = \pm |n'(s)|$  is the torsion.

- (f) Special case: If  $\alpha: I \to \mathbb{R}^2$  is a plane curve, we modify the definitions slightly.
  - (i) The unit normal n(s) is the vector orthogonal to t(s) such that (t, n) is a positive frame for  $\mathbb{R}^2$ .
  - (ii) The (signed) curvature is the real number  $\kappa(s)$  such that  $t'(s) = \kappa(s)n(s)$ .
- (g) The fundamental theorem of the local theory of space curves: For any pair of functions  $\kappa, \tau$  with  $\kappa > 0$  there is a parameterized curve  $\alpha : I \to \mathbb{R}^3$  with curvature  $\kappa$  and torsion  $\tau$ . Furthermore, the resulting curve is unique up to an isometry of  $\mathbb{R}^3$ , i.e. if  $\alpha$  and  $\beta$  have the same curvature and torsion and if the curvature is everywhere positive, then

$$\alpha(s) = A \cdot \beta(s) + v$$

for some orthogonal matrix A and vector  $v \in \mathbb{R}^3$ .

- (h) The fundamental theorem follows from the isometry invariance of  $\kappa, \tau$  and the existence and uniqueness of solutions to ODE with a given initial condition.
- (i) Osculation
  - (i) The osculating plane is  $\operatorname{span}(t(s), n(s))$ .
  - (ii) The osculating circle is the circle in the osculating plane with radius  $1/\kappa(s)$  centered at  $\alpha(s) + (1/\kappa(s))n(s)$ . It is tangent to the curve at  $\alpha(s)$  and it has the same curvature as  $\alpha$  at that point.
- (j) A curve is planar if and only if  $\tau(s) \equiv 0$ .
- (k) A planar curve is a circle if and only if  $\kappa$  is constant and nonzero.

#### (2) Plane curves

- (a) Crofton's formula and integral geometry.
  - (i) The space of lines in the plane (denoted  $\mathscr{L}$ ) can be parameterized by pairs  $(p, \theta)$  where p is the orthogonal distance from a line to (0, 0) and  $\theta$  is the angular coordinate of the point realizing this distance.
  - (ii) Formally,  $\mathscr{L}$  is the quotient of  $\mathbb{R}^2$  by the equivalence relation generated by •  $(p, \theta) \sim (-p, \theta + \pi)$  for all  $p, \theta \in \mathbb{R}$ .
    - $(0,\theta) \sim (0,\theta')$  for all  $\theta, \theta' \in \mathbb{R}$ .
  - (iii) Natural measure. An isometry of  $\mathbb{R}^2$  takes lines to lines, and thus induces a map  $\mathscr{L} \to \mathscr{L}$ . The measure  $dpd\theta$  is invariant under these maps.
  - (iv) If  $\hat{C}$  is a regular curve in  $\mathbb{R}^2$ , let  $N_C(p,\theta)$  denote the number of points of intersection of C with the  $(p,\theta)$ -line (when this intersection is finite).
  - (v) Crofton's formula: If C is a regular curve of length  $\ell$  then

$$\iint_{\mathscr{L}} N_C(p,\theta) \, dp d\theta = 2\ell$$

- (vi) Part of the Crofton theorem is that the function  $N_C$  is integrable, e.g. that lines intersecting C in infinitely many points account for a set of zero  $dpd\theta$ -measure.
- (vii) If  $\Omega \subset \mathbb{R}^2$  is an open set bounded by a finite union of regular closed curves, let  $m_{\Omega}(p,\theta)$  denote the total length of the intervals of intersection of  $\Omega$  and the  $(p,\theta)$  line.
- (viii) Generalized Crofton formula: If a set  $\Omega$  as above has area A, then

$$\iint_{\mathscr{L}} m_{\Omega}(p,\theta) dp d\theta = \pi A$$

- (b) The isoperimetric inequality
  - (i) Let  $\Omega$  be an open set in  $\mathbb{R}^2$  bounded by a closed regular curve C, where  $\Omega$  has area A and C has length L. Then

$$L^2 \ge 4\pi A.$$

Furthermore, if  $L^2 = 4\pi A$  then C is a circle.

- (ii) Corollary: The circle minimizes perimeter among curves enclosing a fixed area.
- (iii) Corollary: The circle maximizes enclosed area among curves with a fixed length.
- (iv) One proof of the isoperimetric inequality uses Crofton's formula to show that the integral of a certain positive real-valued function on  $\mathscr{L} \times \mathscr{L}$  is a positive multiple of  $L^2 - 4\pi A$ .

# (3) Surfaces

- (a) Definition of a regular surface: A subset  $S \in \mathbb{R}^3$  such that for each  $p \in S$  there is a neighborhood V in  $\mathbb{R}^3$  and a map  $X : U \to V \cap S$ , where  $U \subset \mathbb{R}^2$  is open, satisfying:
  - (i) X is differentiable
  - (ii) X is a homeomorphism
  - (iii) X is an immersion, i.e. for each  $q \in U$ , the differential  $dX_q$  is injective.
- (b) Equivalent definitions: Locally, a regular surface is
  - (i) The graph of a differentiable function over one of the coordinate planes xy, xz, or yz.
  - (ii) The graph of a differentiable function over *some* plane in  $\mathbb{R}^3$ .
  - (iii) The inverse image of a regular value of a differentiable function F(x, y, z).

- (iv) The image of the xy plane under a diffeomorphism from an open set in  $\mathbb{R}^3$  to  $\mathbb{R}^3$ .
- (c) Parameterizations: A differentiable map  $X : U \to \mathbb{R}^3$  with injective differential at every point is a *immersion* or a *regular parameterized surface*; after restricting to a sufficiently small open set  $V \subset U$ , the image is a regular surface. In other words, the image of an immersion is locally regular.
- (d) The inverse function theorem: If  $\phi : U \to V$  is a differentiable map and if  $d\phi_p$  is an isomorphism ( $\Leftrightarrow$  the matrix of partial derivatives at p is invertible), then  $\phi$  is a diffeomorphism near p, i.e. there exists a neighborhood U' of p such that  $\phi : U' \to V' = \phi(U')$  is a diffeomorphism.
- (e) General philosophy: Many ideas from multivariable calculus can be generalized to regular surfaces. Often the generalization is defined like this: Use local coordinates to move everything into  $\mathbb{R}^2$ , then apply the usual definition for functions of two variables.
- (f) Differentiable functions on surfaces.
  - (i) A function  $f: S \to \mathbb{R}$  on a regular surface can be locally expressed as f(u, v), where (u, v) are local coordinates on S near a point  $p = (u_0, v_0)$ .
  - (ii) If f(u, v) is differentiable (in the multivariable calculus sense) at  $(u_0, v_0)$ , then we say f is differentiable at p.
  - (iii) This definition does not depend on the coordinate system, since a change of coordinates is differentiable.
- (iv) If f is differentiable at every point of S, then it is *differentiable*.
- (g) Differentiable maps between surfaces.
  - (i) A continuous map  $\phi : S_1 \to S_2$  between two regular surfaces can be locally expressed as f(u, v) = (s(u, v), t(u, v)), where (u, v) are local coordinates on  $S_1$  near  $p = (u_0, v_0)$  and (s, t) are local coordinates on  $S_2$  near  $\phi(p)$ .
  - (ii) If s(u, v) and t(u, v) are differentiable at p, then we say  $\phi$  is differentiable at p.
  - (iii) If  $\phi$  is differentiable at every point of  $S_1$ , then  $\phi$  is differentiable.
- (h) Tangent plane. If X(u, v) is a local parameterization of S, then the span of  $X_u$  and  $X_v$  at a point p is the tangent plane of S at p, denoted  $T_pS$ .
- (i) An alternate definition of the tangent plane: Consider the set of all curves in S that pass through p. The set consisting of their tangent vectors at p is  $T_pS$ .
- (j) Differential. A differentiable map  $\phi : S_1 \to S_2$  induces a linear map  $d\phi_p : T_pS_1 \to T_{\phi(p)}S_2$ , the differential of  $\phi$  at p. In local coordinates (u, v) near p and (s, t) near  $\phi(p)$ , we can write  $\phi(u, v) = (s(u, v), t(u, v))$ . Then the differential has matrix

$$d\phi_p = \begin{pmatrix} \frac{\partial s}{\partial u}(p) & \frac{\partial s}{\partial v}(p) \\ \frac{\partial t}{\partial u}(p) & \frac{\partial t}{\partial v}(p) \end{pmatrix}.$$

- (k) The inverse function theorem for surfaces. If  $\phi : S_1 \to S_2$  is a differentiable map and  $d\phi_p$  is an isomorphism, then  $\phi$  is a diffeomorphism near p, i.e. there exists a neighborhood U' of p such that  $\phi : U' \to V' = \phi(U')$  is a diffeomorphism.
- (1) A map  $\phi: S_1 \to S_2$  whose differential is an isomorphism at every point need not be injective or surjective.

Examples:

- The inclusion of a small disk by a coordinate chart (not surjective).
- The plane mapping to the torus by a doubly-periodic parameterization function (not injective).
- (m) Some examples of regular surfaces:
  - A graph z = f(x, y).
  - Inverse image of a regular value  $\{(x, y, z) | F(x, y, z) = c\}$ .
  - Surface of revolution. Rotate a plane curve  $\beta(t)$  around a line, use t and rotation angle  $\theta$  as parameters.

- The surface of revolution of a circle that does not intersect the axis is a *circular* torus.
- A surface that contains a line segment through each of its point is *ruled*. Such a surface can be parameterized by  $X(s,t) = \alpha(s) + t\beta(s)$  where  $\alpha$  is a space curve and  $\beta$  is a nonzero vector-valued function.
- Surface of tangents.  $X(s,t) = \alpha(s) + t\alpha'(s)$  where  $\alpha$  is a space curve parameterized by arc length. This surface is ruled and  $\alpha$  is the line of striction (see section 3.5).
- Surface of binormals.  $X(s,t) = \alpha(s) + tb(s)$  where  $\alpha$  is a space curve parameterized by arc length and b(s) is the unit binormal vector. This surface is ruled and the curve  $\alpha$  is a geodesic (we did not prove this, but see exercise 17 in section 4.4).
- Tubes. Let  $X(s, \theta) = \alpha(s) + \epsilon \cos(\theta)n(s) + \epsilon \sin(\theta)b(s)$ , where  $\alpha$  is a space curve with unit normal n and unit tangent t, and  $\epsilon > 0$  is the tube radius.
- The cone on the space curve  $\alpha(t)$  is parameterized by  $X(s,t) = t\alpha(s)$ .
- (n) Some examples of diffeomorphisms:
  - If  $S \subset \mathbb{R}^3$  is a regular surface and  $F : \mathbb{R}^3 \to \mathbb{R}^3$  is a diffeomorphism of  $\mathbb{R}^3$  that preserves S, i.e. F(S) = S, then the restriction of F is a diffeomorphism  $F: S \to S$ .
  - A surface of revolution has a natural family of diffeomorphisms  $R_{\theta}$  obtained by rotating the surface by angle  $\theta$  around its axis of symmetry.
  - The map  $(x, y, 0) \mapsto (x, y, f(x, y))$  from a coordinate plane to the graph of a differentiable function is a diffeomorphism.
  - A local parameterization  $X: U \to S$  of a regular surface is a diffeomorphism from U to X(U).

# (4) Geometry of surfaces: First fundamental form, length, angle, and area

- (a) Restricting the inner product of  $\mathbb{R}^3$  makes  $T_pS$  into an *inner product space*. The associated quadratic form is the *first fundamental form*, denoted  $I_p$ . Thus  $I_p(w)$  is the squared length of w (as a vector in  $\mathbb{R}^3$ ).
- (b) In the basis  $X_u, X_v$  for  $T_pS$  given by a local parameterization, the matrix of  $I_p$  is  $\begin{pmatrix} E & F \\ F & G \end{pmatrix}$  where  $E = \langle X_u, X_u \rangle \ F = \langle X_u, X_v \rangle \ G = \langle X_v, X_v \rangle.$

In other words, we have " $I = \langle dX, dX \rangle$ ".

(c) The length of a curve  $\alpha(t) = (u(t), v(t))$  on S is given by

$$\int_a^b \sqrt{Eu'^2 + 2Fu'v' + Gv'^2} dt.$$

Note that  $Eu'^2$  means  $E(u(t), v(t)) (u'(t))^2$ , and similarly for the other terms. (d) The area of a region  $\Omega$  contained in a local coordinate chart (u, v) is given by

$$\iint_{\Omega} \sqrt{EG - F^2} du dv.$$

Note that when S is contained in  $\mathbb{R}^2$ , this is the usual formula for change of variables, and  $\sqrt{EG - F^2}$  is the Jacobian of the transformation.

(e) The angle  $\theta$  between vectors  $w_1 = aX_u + bX_v$  and  $w_2 = cX_u + dX_v$  satisfies

$$\cos(\theta) = \frac{\langle w_1, w_2 \rangle}{|w_1| |w_2|} = \frac{Eac + F(ad + bc) + Gbd}{\sqrt{(Ea^2 + 2Fab + Gb^2)(Ec^2 + 2Fcd + Gd^2)}}$$

(f) A map between surfaces whose differential preserves length of vectors is a (local) *isometry*.

#### (5) Geometry of surfaces: Gauss map, second fundamental form, and curvature

- (a) An orientation of a surface is a choice of a unit normal vector at each point in such a way that the resulting map  $N: S \to S^2$  is continuous. Here  $S^2 = \{(x, y, z) \in \mathbb{R}^3 | x^2 + y^2 + z^2 = 1\}$ . If a surface S has an orientation, then it has exactly two, and we say S is orientable.
- (b) The map  $N: S \to S^2$  associated with an orientation is called the *Gauss map* of the surface.
- (c) When parameterizing an oriented surface, we always choose X(u, v) so that  $X_u \wedge X_v$ is a positive multiple of the unit normal, i.e.

$$N(u,v) = \frac{X_u \wedge X_v}{|X_u \wedge X_v|}$$

- (d) The tangent plane to  $S^2$  at N(u, v) is naturally identified with the tangent plane to S at X(u, v); in each case, the plane consists of vectors orthogonal to N(u, v). In particular, the differential of the Gauss map is a linear map  $dN_p: T_pS \to T_pS$ .
- (e) The differential of the Gauss map is self-adjoint with respect to  $I_p$  (so it is diagonalizable and has real eigenvalues with orthogonal eigenspaces).
- (f) The second fundamental form is the quadratic form  $I_p$  on  $T_pS$  defined by  $I_p(w) =$  $-\langle dN_p(w), w \rangle = \langle \frac{\partial^2 X}{\partial w^2}, N(p) \rangle$ . So  $\Pi_p$  is the normal component of the acceleration of a path in S with tangent vector w. One could summarize this definition as " $II = -\langle dX, dN \rangle = \langle d^2X, N \rangle$ ".
- (g) The eigenvalues of  $-dN_p$  are the principal curvatures of S at p, denoted  $k_1, k_2$ . The associated eigenspaces are the *principal directions*.
- (h) The product of the principal curvatures is the Gaussian curvature

$$K(p) = k_1(p)k_2(p) = \det(dN_p).$$

The average of the principal curvatures is the *mean curvature* 

$$H(p) = \frac{1}{2}(k_1(p) + k_2(p)) = -\frac{1}{2}\operatorname{tr}(dN_p).$$

(i) In local coordinates, the matrix of  $H_p$  is given by  $\begin{pmatrix} e & f \\ f & g \end{pmatrix}$  where:

$$e = \langle X_{uu}, N \rangle = -\langle X_u, N_u \rangle$$
  

$$f = \langle X_{uv}, N \rangle = -\langle X_u, N_v \rangle = -\langle X_v, N_u \rangle$$
  

$$g = \langle X_{vv}, N \rangle = -\langle X_v, N_v \rangle$$

- (j) This is different from the matrix of  $-dN_p$ , unless  $X_u$  and  $X_v$  are orthonormal. In general, we have  $dN_p = -\begin{pmatrix} E & F \\ F & G \end{pmatrix}^{-1} \begin{pmatrix} e & f \\ f & g \end{pmatrix}$ . (k) Using the formula for N in terms of  $X_u$  and  $X_v$  gives the convenient formula

$$e = \frac{1}{\sqrt{EG - F^2}} \det(X_u \ X_v \ X_{uu})$$

and similarly for f and g, replacing only the second derivative term with  $X_{uv}$  or  $X_{vv}$ , respectively.

(1) Using the formula for  $dN_p$ , we have

$$K = \frac{eg - f^2}{EG - F^2}$$
 and  $H = \frac{Eg - 2Ff + Gg}{2(EG - F^2)}$ 

and the principal curvatures are the roots of the polynomial  $\lambda^2 - 2H\lambda + K$ .

(m) If  $\alpha(s)$  is a curve contained in S, then the length of the projection of  $\alpha''(s)$  onto  $N(\alpha(s))$  is the normal curvature of  $\alpha$ , denoted  $k_N$ . The normal curvature at  $\alpha(s)$  only depends on  $\alpha'(s)$ , and is given by  $\prod_{\alpha(s)}(\alpha'(s))$ . Here we assume  $\alpha(s)$  is parameterized by arc length.

- (n) The principal curvatures at p are the extreme values of the normal curvature as  $\alpha'$ varies over all unit tangent vectors at p.
- (o) Classification of points on a surface:
  - If K(p) > 0, then p is an *elliptic point*.
  - If K(p) = 0 but  $dN_p$  is nonzero, then p is a parabolic point.
  - If K(p) = 0 and  $dN_p$  is zero, then p is a planar point.
  - If K(p) < 0, then p is a hyperbolic point.
  - If  $k_1(p) = k_2(p)$  (or equivalently,  $H(p)^2 = K(p)$ ), then p is an *umbilic point*.
- (p) Typical examples:
  - Every point on the unit sphere is elliptic and umbilic.
  - Every point on a cylinder is parabolic.
  - Every point on a plane is planar

  - The point (0,0,0) on  $\{z = (x^2 + y^2)^2\}$  is planar. The point (0,0,0) on the "saddle"  $\{z^2 = x^2 y^2\}$  is hyperbolic.
  - If f''(x) > 0, then every point on the surface of revolution of f is hyperbolic.
  - The point (0,0,0) on the circular paraboloid  $\{z = x^2 + y^2\}$  is umbilic.
- (q) A curve in S whose tangent vector at each point is a principal direction is a line of curvature.
- (r) Special cases:
  - (i) If F = 0, then the horizontal and vertical lines in the uv plane correspond to orthogonal curves in S.
  - (ii) If F = f = 0, then the principal curvatures are e/E and g/G, the principal directions are  $X_u$  and  $X_v$ , and the horizontal and vertical lines in the uv plane correspond to lines of curvature in S.
- (s) Isothermal coordinates. For any  $p \in S$  there is a local coordinate system X(u, v) that is orthogonal and in which  $|X_u| = |X_v| = \lambda(u, v)$ . Equivalently, the first fundamental form is  $E = G = \lambda^2$ , F = 0.
- (t) The Gaussian curvature in an isothermal coordinate system is given by  $K = -(1/\lambda^2)\Delta \log(\lambda)$ .

## (6) Intrinsic geometry of surfaces

- (a) The Gauss frame of an oriented surface with local parameterization X(u, v) is the frame  $(X_u, X_v, N(u, v))$  where  $N(u, v) = (X_u \wedge X_v)/|X_u \wedge X_v|$ .
- (b) The derivative of the Gauss frame can be expressed in terms of the Gauss frame, giving

$$\begin{aligned} X_{uu} &= \Gamma_{11}^1 X_u + \Gamma_{11}^2 X_v + eN \\ X_{uv} &= \Gamma_{12}^1 X_u + \Gamma_{12}^2 X_v + fN \\ X_{vu} &= \Gamma_{21}^1 X_u + \Gamma_{21}^2 X_v + fN \\ X_{vv} &= \Gamma_{22}^1 X_u + \Gamma_{22}^2 X_v + gN \end{aligned}$$

where the coefficients  $\Gamma^i_{jk}$  are the *Christoffel symbols*.

(c) In index notation, let  $L_{ij} = \begin{pmatrix} e & f \\ f & g \end{pmatrix}$  and write  $X_i$  for  $\partial X / \partial u_i$  and  $X_{ij}$  for  $\partial^2 X / \partial u_i \partial u_j$ . Then

$$X_{ij} = \Gamma^i_{jk} X_i + L_{ij} N.$$

(d) Relations like  $\langle X_{uu}, X_u \rangle = \frac{1}{2}E_u$  connect the Christoffel symbols to the first fundamental form (see (2) on p232). This leads to the formula

$$\Gamma^{i}_{jk} = \frac{1}{2} \sum_{\ell} g^{i\ell} \left( \frac{\partial g_{j\ell}}{\partial u_k} + \frac{\partial g_{\ell k}}{\partial u_j} - \frac{\partial g_{jk}}{\partial u_\ell} \right)$$

where  $g_{ij} = \begin{pmatrix} E & F \\ F & G \end{pmatrix}$  is the first fundamental form and  $g^{ij}$  is its inverse matrix.

- (e) Equality of mixed third partial derivatives (e.g.  $X_{uuv} = X_{uvu}$ ) gives a system of nine equations relating Christoffel symbols, the first and second fundamental forms, and their derivatives. These equations are of two types:
  - (i) Gauss equations: Express the Gaussian curvature K as a function of Christoffel symbols and their first derivatives.
  - (ii) Codazzi-Mainardi equations: Express derivatives of second fundamental form (e.g.  $e_v f_u$ ) in terms of the second fundamental form and the Christoffel symbols (e.q.  $e\Gamma_{12}^1 + f(\Gamma_{12}^2 \Gamma^1 11) g\Gamma_{11}^2)$ .
- (f) The Gauss equation implies the *Theorema Egregium*: Locally isometric surfaces have the same Gaussian curvature.
- (g) Bonnet's fundamental theorem: If E, F, G, e, f, g are differentiable functions and if E, F, G describe a positive definite form at each point, then these arise locally as the first and second fundamental forms of a parameterized surface if and only if they obey the Gauss and Codazzi-Mainardi equations. Furthermore, if two surfaces have the same first and second fundamental form with respect to some local coordinate system, then the surfaces are related by an isometry of  $\mathbb{R}^3$ .
- (h) The covariant derivative.
  - (i) Let W be a vector field on a regular surface S with local parameterization X(u, v). Then the partial derivatives ∂W/∂u and ∂W/∂v may not be tangent to S. The projections of these vectors to the tangent plane are the covariant derivatives DW/∂u and DW/∂v.
  - (ii) More generally, if  $\alpha$  is a curve in S, then the projection of  $\frac{d}{dt}(W(\alpha(t)))$  to  $T_{\alpha(t)}S$  is the covariant derivative of W along  $\alpha$ , denoted DW/dt.
  - (iii) The covariant derivative is linear and only depends on the tangent vector to  $\alpha$ : If  $\alpha'(t) = a(t)X_u + b(t)X_v$  then

$$\frac{DW}{dt} = a\frac{DW}{\partial u} + b\frac{DW}{\partial v}.$$

- (iv) The covariant derivative DW/dt only depends on the values of a vector field on the curve itself, and is therefore defined for a vector field along a curve.
- (v) The tangent vectors  $\alpha'(t)$  form a vector field along a curve  $\alpha$ . Its covariant derivative is the *acceleration*  $D\alpha'(t)/dt$ .
- (i) Parallelism.
  - (i) A vector field W along a curve is parallel if DW/dt = 0.
  - (ii) The angle between a pair of parallel vector fields is constant. More generally,

$$\frac{d}{dt}\langle W,V\rangle = \langle \frac{DW}{dt},V\rangle + \langle W,\frac{DV}{dt}\rangle.$$

- (iii) Given a vector  $W(0) \in T_{\alpha(0)}S$ , there is a unique extension to a parallel vector field W(t) along  $\alpha$ .
- (iv) With W(0) extended to W(t) as above, the value  $W(t) \in T_{\alpha(t)}S$  is called the *parallel transport* of W(0) from  $\alpha(0)$  to  $\alpha(t)$  along  $\alpha$ .
- (v) If two surfaces are tangent along a curve, then the parallel transport of a vector along the curve can be computed in either surface and the results will be equal.
- (j) Geodesics.
  - (i) A *geodesic* is a curve whose unit tangent vector field is parallel.

(ii) If  $\alpha(s)$  is parameterized by arc length, then  $D\alpha'/ds$  is orthogonal to  $\alpha'(s)$ . Therefore, there is a real number  $k_g(s)$  such that

$$\alpha'(s) \wedge \frac{D\alpha'}{ds} = k_g(s)N(\alpha(s))$$

where N is the unit normal vector to the surface. The quantity  $k_g(s)$  is the *geodesic curvature* of  $\alpha$ . (Its sign depends on the orientation induced by the local parameterization.)

- (iii) Alternate definition of a geodesic: a curve with  $k_g \equiv 0$ .
- (iv) Given a point  $p \in S$  and a unit vector  $v \in T_p S$  there is a unique geodesic  $\gamma: (-\epsilon, \epsilon) \to S$  such that  $\gamma(0) = p$  and  $\gamma'(0) = v$ .
- (v) In local coordinates  $(u_1, u_2)$ , the geodesic equations are

$$\frac{d^2 u_i}{dt^2} + \sum_{j,k} \Gamma^i_{jk} \frac{d u_j}{dt} \frac{d u_k}{dt} = 0$$

- (vi) Geodesic examples:
  - Straight lines in  $\mathbb{R}^2$
  - $\bullet$  Great circles on  $S^2$
  - Helices on a right circular cylinder
- (vii) Geodesics on a surface of revolution:
  - All meridians are geodesics
  - A parallel is a geodesic if and only if it is a local maximum of distance to the axis.
  - Clairaut's relation. Let  $\theta(s)$  denote the angle between  $\alpha'(s)$  and the parallel it intersects at  $\alpha(s)$ , and let r(s) denote the distance from  $\alpha(s)$  to the axis of revolution. Then the quantity  $r(s)\cos(\theta(s))$  is constant along any geodesic.

## (7) The Gauss-Bonnet Theorem

- (a) Regions and curves.
  - (i) A piecewise smooth curve on a surface has a pair of tangent vectors at each of its vertices. The angle between these is an exterior angle or turning angle of the curve. The exterior angle is signed, where a positive angle means that the tangent vector turns counterclockwise about the unit normal.
  - (ii) A *simple region* on a surface is a closed set homeomorphic to a disk bounded by a piecewise smooth simple closed curve.
  - (iii) A *regular region* on a surface is a compact set whose boundary is a finite disjoint union of piecewise smooth simple closed curves.
- (b) Gauss-Bonnet v1.0. If  $R \subset S$  is a simple region with smooth boundary that is contained in a single coordinate chart of the surface, then

$$\int_{\partial R} k_g(s) ds + \iint_R K dA = 2\pi$$

- (c) For an orthogonal coordinate system, the proof of the Gauss-Bonnet theorem has two ingredients:
  - (i) Green's theorem
  - (ii) The theorem of the turning tangents: If  $\gamma : [0, \ell] \to \mathbb{R}^2$  is a smooth simple closed curve parameterized by arc length with signed curvature  $\kappa$ , then

$$\int_0^\ell \kappa(s) ds = \pm 2\pi$$

where the sign indicates whether the disk bounded by  $\gamma$  lies to the left (+) or to the right (-) as  $\gamma$  is traversed in the direction of increasing s.

(d) Gauss-Bonnet v2.0. If  $R \subset S$  is a simple region with piecewise smooth boundary that is contained in a single coordinate chart of the surface, and if  $\theta_1, \ldots, \theta_n$  are the external angles of the positively oriented boundary of R, then

$$\sum_{i=1}^{n} \theta_i + \int_{\partial R} k_g(s) ds + \iint_R K dA = 2\pi$$

- (e) A *triangulation* of a regular region is a decomposition into triangles (simple regions with three vertices) such that the triangles are pairwise disjoint unless they share exactly one vertex or exactly one edge.
- (f) If R is a triangulated regular region with V vertices, E edges, and F triangles, then the Euler characteristic of R is the quantity

$$\chi(R) = V - E + F.$$

The Euler characteristic depends only on R and not on the particular triangulation.

- (g) Any regular region has a triangulation such that each triangle is contained in a single coordinate chart.
- (h) Gauss-Bonnet v3.0. If R is a regular region on a surface S (e.g. R = S if S is compact) whose positively oriented boundary has external angles  $\theta_1, \ldots, \theta_n$ , then we have

$$\sum_{i=1}^{n} \theta_i + \int_{\partial R} k_g(s) ds + \iint_R K dA = 2\pi \chi(R).$$

- (i) The classification of compact orientable surfaces.
  - (i) The genus of a compact orientable surface is a nonnegative integer that uniquely determines its homeomorphism type, i.e. two surfaces are homeomorphic if and only if they have the same genus.
  - (ii) The sphere has genus g = 0, the torus has g = 1.
  - (iii) Informally, the genus g(S) is the number of "handles" that one must attach to the sphere in order to create a surface homeomorphic to S.
  - (iv) Let  $C = \bigcup_{i=1}^{g} C_i$  where  $C_i$  is the circle in  $\mathbb{R}^2$  with center (2i 1, 0) and radius 1. Let S be the set of points in  $\mathbb{R}^3$  whose distance from C is exactly 1/4. Then S is a compact (topological) surface of genus q.
- (j) Corollaries of GB v3.0.
  - (i) If S is a compact orientable regular surface, then

$$\iint_S K dA = 2\pi \chi(S)$$

- (ii) Gauss-Bonnet v2.1: The restriction that R must lie in a single coordinate chart can be dropped from v2.0.
- (iii) If a regular surface has positive Gaussian curvature, then it is homeomorphic to the sphere.
- (iv) If  $S \subset \mathbb{R}^3$  is a compact regular surface that is not homeomorphic to the sphere, then S contains points of positive, negative, and zero Gaussian curvature.

#### (8) Exponential map and normal coordinates

(a) Fix a regular surface  $S \subset \mathbb{R}^3$  and a point  $p \in S$ . For any  $v \in T_p S$  there exists a unique constant-speed parameterized geodesic  $\gamma(t)$  such that  $\gamma(0) = p$  and  $\gamma'(0) = v$ . Write  $\gamma(t) = \gamma(t, v)$  to emphasize the dependence on v.

- (b) Homogeneity:  $\gamma(t, \lambda v) = \gamma(\lambda t, v)$  for any  $\lambda \in \mathbb{R}$ . Thus for small v the geodesic is defined for a large time interval.
- (c) The exponential map at  $p \in S$  is defined by

$$\exp_p(v) = \gamma(1, v)$$

i.e. send  $v \in T_pS$  to the endpoint of the geodesic segment of length |v| starting at p in the direction of v.

- (d) The map  $\exp_p$  is defined on an open subset of  $T_pS$  that contains (0,0). Thus there exists  $\delta$  such that  $\exp_p$  is defined for all  $v \in T_pS$  with  $|v| < \delta$ .
- (e) The differential of  $\exp_p$  at 0 is the identity, i.e.

$$(d\exp_p)_0(v) = v$$

for any  $v \in T_p S \simeq T_0 T_p S$ .

- (f) By the IFT, the exponential map is a local diffeomorphism. The diffeomorphic image of a neighborhood of  $0 \in T_pS$  is a normal neighborhood of p.
- (g) Composing orthonormal rectangular coordinates for  $T_pS$  and  $\exp_p$  gives normal coordinates for S centered at p.
- (h) Composing polar coordinates for  $T_pS$  and  $\exp_p$  gives geodesic polar coordinates for S centered at p.
- (i) In normal coordinates, E(p) = G(p) = 1 and F(p) = 0.
- (j) In geodesic polar coordinates,  $E \equiv 1$ ,  $F \equiv 0$ , and G satisfies

$$\lim_{\rho \to 0} G(\rho, \theta) = 0 \qquad \lim_{\rho \to 0} \frac{\partial}{\partial \rho} \sqrt{G(\rho, \theta)} = 1.$$

(Compare these to polar coordinates in  $\mathbb{R}^2$ .)

- (k) Theorem: For all  $p \in S$  there is a neighborhood U of p that is also a normal neighborhood of q for all  $q \in U$ .
- (1) For all  $p \in S$  there is a normal neighborhood U of p such that any geodesic segment in U is *minimizing*, i.e. its length is less than or equal to that of any path in S with the same endpoints.
- (m) Theorem: For all  $p \in S$  there exists a normal neighborhood  $U \in S$  that is *convex*, i.e. for all  $q_1, q_2 \in U$  there is a unique geodesic segment with endpoints  $q_1, q_2$  that is contained in U.
- (n) Corollary: Every compact surface has a finite geodesic triangulation (i.e. a triangulation with finitely many triangles, each of which is bounded by three geodesic segments).